



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/382,907 08/25/99 KEISER T 10269/11

0212/0915

BROWN RAYSMAN MILLSTEIN
FELDER & STEINER LLP
120 WEST 45TH STREET
NEW YORK, NY 10036

NOT ASSIGNED

DATE MAILED: 2761

09/15/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☒ small entity (statement filed) ☐ non-small entity is \$ 65.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration.

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to Attention: Box Missing Parts.

A copy of this notice **MUST** be returned with the reply.

[Signature]
Customer Service Center

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

see to rdl

Applicant : Timothy M. Keiser et al.

Serial No. : 09/382,907

Filed : August 25, 1999

Group Art Unit: 2761

Title : COMPUTER-IMPLEMENTED SECURITIES TRADING
SYSTEM WITH VIRTUAL CURRENCY AND VIRTUAL
SPECIALIST

Box Missing Parts
Assistant Commissioner
for Patents
Washington, D.C. 20231

TRANSMITTAL

Sir:

Enclosed please find the following documents in connection with the above-
identified patent application:

1. Assignment with Recordation Cover Sheet
2. Check in the amount of \$40.00
3. Declaration and Power of Attorney
4. Copy of Notice to File Missing Parts of Application
5. Check in the amount of \$65.00

The Commissioner is hereby authorized to charge any deficiency in the fee or
credit any overpayment to the undersigned attorney's Deposit Account No. 02-4270.

Respectfully submitted,

Dated: November 9, 1999



Larry Liberchuk

Reg. No. 40,352

BROWN RAYSMAN MILLSTEIN

FELDER & STEINER LLP

120 West Forty-Fifth Street

New York, New York 10036

(212) 944-1515

I hereby certify that this paper is being deposited this date with the
U.S. Postal Service as First Class Mail addressed to:
Assistant Commissioner for Patents, Washington, D.C. 20231



Name

Nov. 9, 1999

Date